



### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the Application of:	) CERTIFICATE OF MAILING
Geoffrey Flagstad	<ul> <li>I hereby certify that this correspondence is</li> <li>being deposited with the United States</li> </ul>
Serial No.: 10/679,749	) Postal Service as first class mail in an envelope addressed to: Commissioner for
Filed: October 6, 2003	) Patents, P.O. Box 1450, Alexandria, VA ) 22313-1450 on
For: MEDICAL RECORD CARDS AND STORAGE SYSTEMS	) January 16, 2004
Examiner: Not yet assigned	
Group Art Unit: 2176	George Wheeler Reg. No.: 28,766

# TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT AFTER APPLICATION FILING DATE

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

# **ENCLOSURES**

Enclosed are the following:

- \* A completed PTO form SB/08A which has 2 pages.
- \* Each reference listed in the enclosed form SB/08A was not previously provided to or by the PTO in this application. A copy of the twenty-one (21) "Other Art" references are enclosed.

#### FEE DETERMINATION

- \* No fee is believed to be due because:
  - \* The applicant(s) believe(s) that this statement and enclosures are being filed before the first Office action on the merits has been mailed by the PTO. The basis of this belief is that no Office action on the merits appears to have been received by the undersigned to date.

#### **FEE PAYMENT**

The following arrangements have been made to pay the fees calculated above:

\* No fee is believed to be due.

The Commissioner is hereby authorized to charge any additional fees which are presently required, or credit any overpayment, to Deposit Account No. 13-0017.

## REQUEST FOR CONSIDERATION

This paper and enclosures are believed to be entitled to consideration under 37 C.F.R. § 1.97, based on the facts stated above. The Examiner is requested to initial both copies of the enclosed form PTO-/SB/08A and return one copy to the applicants to indicate consideration of the enclosed references.

Respectfully submitted,

Dated: Jamany 16, 2004

Reg. No. 28,766
Attorney for applicant

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PTO/SB/08A (08-03)

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Substitute for form 1449A/PTO

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known			
Application Number	10/679,749		
Filing Date	October 6, 2003		
First Named Inventor	Flagstad		
Group Art Unit	2176		
Examiner Name	Not yet assigned		
Attorney Docket Number	14969US01		

			U.S. PATENT DO	CUMENTS	
Examiner Cite Initial* No.1	Document Number	Publication Date	Name of Patentee or	Pages, Columns, Lines, Where	
	4	Number-Kind Code <sup>2</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Relevant Passages or Relevant Figures Appear
	A1	4,315,309	02/09/1982	Coli	
	A2	5,327,341	07/05/1994	Whalen et al.	
	A3	5,455,082	10/03/1995	Saito et al.	
	A4	6,073,106	06/06/2000	Rozen et al.	
	A5	6,523,116	02/18/2003	Berman	

	FOREIGN PATENT DOCUMENTS					
Examiner	Cite	Foreign Patent Document	Publication Date	Name of Patentee or	Pages, Columns, Lines,	<b>-</b> 6
Initials*	No. <sup>1</sup>	Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY	Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	
	A6					

		OTHER ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	A7	LARKIN, HOWARD, "Allowing patients to post their own medical records on the Internet is becoming big business," AMNews correspondent, Nov. 8, 1999, website ama-assn.org/sci-pubs/amnews/pick_99/biza1108.htm, 5/5/2003, 6 pages
	A8	Website medrecordsweb.com, 5/5/2003, 2 pages, "Welcome to medRecords Web.com," 2000 MedRecords Web, Inc.
	A9	Website vitalink-canada.com/faq.htm, 5/5/2003, 3 pages, "Some Of Our More Frequently Asked questions!", 2002 VitaLink
	A10	Website dmoz.org/Health/Services/Health_Records_Services/, 5/5/2003, 5 pages
	A11	Website healthsmartcard.net/intro.html, Health Smart Card Introduction, 5/5/2003, 3 pages
	A12	SAGE, LINDA, "Smart card accesses medical data," website wupa.wustl.edu/record/archive/1999/01-28-99/articles/smartcard.html, 5/5/2003, 2 pages
	A13	CHAN, ALVIN T.S., "Web-Enabled Smart Card for Ubiquitous Access of Patient's Medical Record," website www8.org/w8-papers/4d-electronic/enabled/enabled.html, 5/5/2003, 10 pages
	A14	Website www-1. ibm.com/industries/healthcare/doc/content/solution/1909091, "Healthcare smart card solution from IBM," 5/5/2003, 2 pages
01		

EXAMINER	DATE CONSIDERED	
SIGNATURE		

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031

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form 1449A/PTO

SATENTE)

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary))

Sheet 2 Of 2

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		OTHER ART NON PATENT LITERATURE DOCUMENTS
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	A15 Website www.instantekg.com/travel.htm, 5/5/2003, 1 page	
	A16	Website personalmd.com/aboutus_services.shtml, 5/5/2003, 2 pages
	A17	Website amerifile.org/services.asp, 5/5/2003, 5 pages
	A18	Website heinsite.biz/how-it-works.html, "How It Works," 5/5/2003, 1 page
	A19	Website maxking.co.uk/medsmartcard.htm, Medical Smart Card Information, 5/5/2003, 4 pages
	A20	Website angelfire.com/biz5/angelcaretwo/, "THIS CARD COULD SAVE YOUR LIFE OR A LOVED ONES," 5/5/2003, 2 pages
	A21	THACKER, STEPHEN B., "HIPAA Privacy Rule and Public Health," Epidemiology Program Office, April 11, 2003, website cdc.gov/mmwr/preview/mmwrhtml/m2e411al.htm, 5/25/2003, 20 pages
	A22	Website, hhs.gov/ocr/moneypenalties.html, HHS Office for Civil Rights – General Information, 5/25/2003, 19 pages
	A23	Department of Health & Human Services, "Protecting Personal Health Information in Research: Understanding the HIPAA Privacy Rule," pages 1-32
	A24	United States Department of Health & Human Services, April 14, 2003, website, hhs.gov/news/facts/privacy.html, 5/20/2003, 3 pages
	A25	Federal Register/ Vol. 68, No. 34/ Thursday, February 20, 2003/ Rules and Regulations, pages 8381 to 11445
	A26	Website, meridianmeds.com/about.html, 4/23/2003, 4 pages
	A27	American college of Emergency Physicians, website; ACEP.org – Emergency Department Technology, 8/4/2003, 4 pages

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy

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